

Summary of Actions Requiring NIH Prior Approval

NIH <u>prior approval</u> is required for	Under the following circumstances
Additional no-cost extension, extension greater than 12 months, or late notification of initial no-cost extension	All instances.
A&R (Alterations and Renovations)	<p>Rebudgeting into A&R costs that would exceed 25 percent of the total approved budget for a budget period.</p> <p>If rebudgeting would not meet this threshold but would result in a change in scope.</p> <p>Any single A&R project exceeding \$500,000.</p>
Capital expenditures (construction, land, or building acquisition)	All instances. Also, any proposals to convey, transfer, assign, mortgage, lease, or in any other manner encumber real property acquired with NIH grant funds.
Carryover of unobligated balances	If the NoA indicates that the recipient does not have the authority to automatically carry over unobligated balances.
Change in scope	All instances.
Change in status of the PD/PI or senior/key personnel named in the NoA	A significant change in the status including but not limited to withdrawal from the project; absence for any continuous period of 3 months or more; reduction of the level of effort devoted to project by 25 percent or more from what was approved in the initial competing year award.
Change of recipient organization	All instances.
Change of recipient organization status	All instances.
Deviation from award terms and conditions	All instances. Includes undertaking any activities disapproved or restricted as a condition of the award.

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Foreign component added to a grant to a domestic or foreign organization	All instances.
Make subawards based on fixed amounts	All instances
Need for additional NIH funding	All instances, including extension of a final budget period of a project period with additional funds.
Pre-award costs	More than 90 days before effective date of the initial budget period of a new or competing continuation award; always at the recipient's own risk.
Rebudgeting funds from trainee costs	All instances.
Rebudgeting of funds between construction and non-construction work	All instances.
Retention of research grant funds when CDA awarded	All instances.